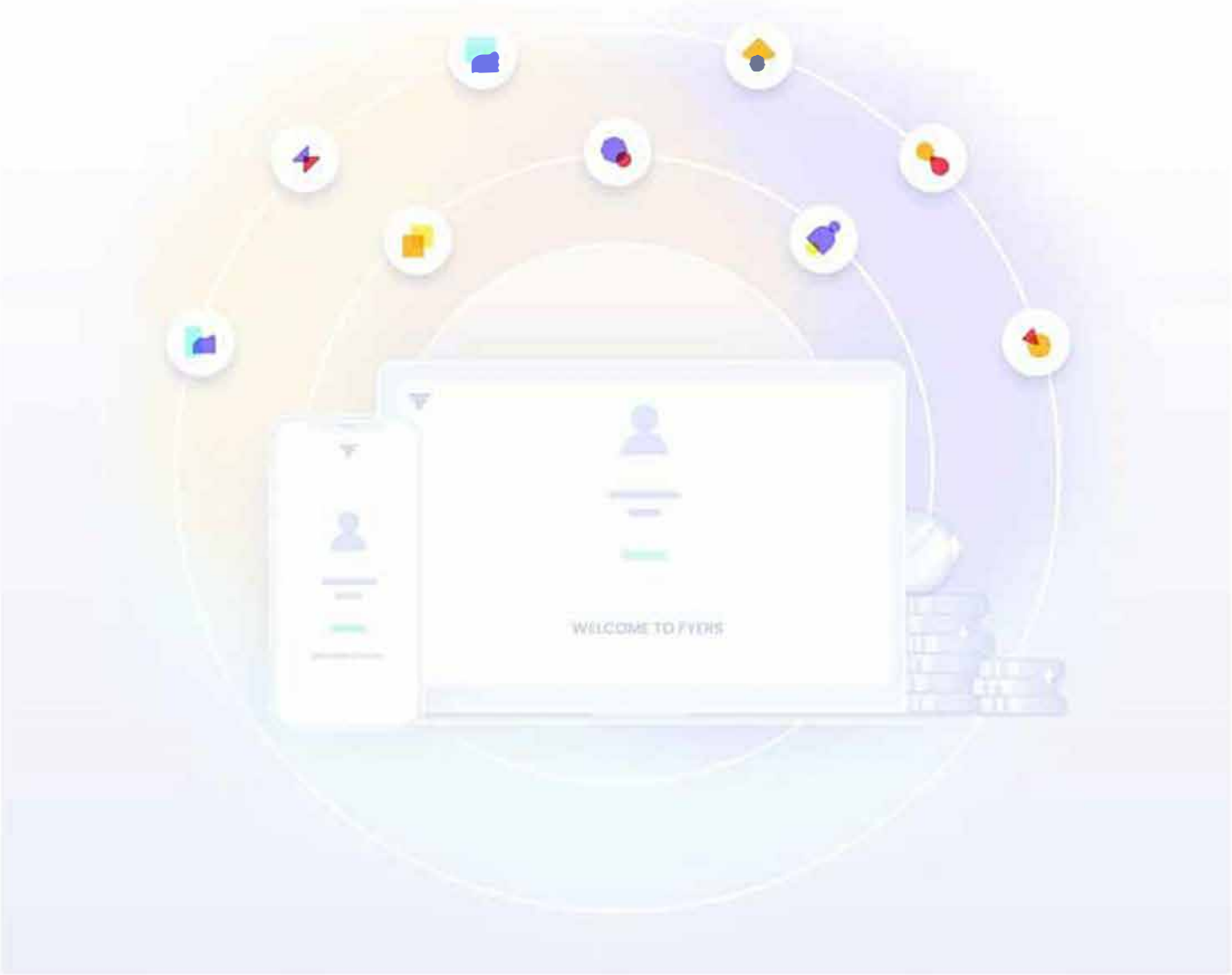
FYERS

Your Gateway to Investing!

Account Opening Form



INDEX OF DOCUMENT

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MANDATORY			
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		B. Document captures the additional information about the constituent relevant to trading account.	7-11
2.	Combined Annexure as prescribed by SEBI, Exchanges and Depositories	Document stating Rights and Obligations, Risk Disclosure, Guidance note and Policies and Procedures for Brokers/Trading Members, Sub-brokers and clients for dealing in/with exchange and depositories.	Annexure 1
3.	Client Acknowledgment	Client acknowledgment that he has read all the rules & regulations.	12
4.	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	13-14
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8.	Undertaking	Contains voluntary undertaking by the client with respcet to Fyers international operation during the course of relationship with Fyers	19-21
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Name of Trading Member	Fyers Securities Private Limited (Hereinafter referred to as "Fyers")
Registration Details	SEBI - INZ000008524; NSE - 90061; BSE - 6697; MCX - 56100 Depository Registration with SEBI- IN-DP -432-2019, CDSL- 12089400, NSDL- IN304502
Address	Registered - 901 and 902, 9th Floor, A Wing, Brigade Magnum, Kodigehalli Gate, Hebbal, Bangalore - 560092 | Correspondence- Same as above
Compliance Officer	Bikash Swain; 080-45588633; grievance@fyers.in
CEO	Tejas N K; 080-45588632; ceo@fyers.in.

Clearing Member - Cash & MF Segments	Fyers Securities Private Limited; SEBI Registration No. INZ000008524
Clearing Member - Derivatives	NSE F&O, CDS & COM: Fyers Securities Private Limited; NSE Member Code-M51972 BSE F&O, CDS & COM: Fyers Securities Private Limited; NSE Member Code-M51972 MCX: Fyers Securities Private Limited; MCX Member Code-56100

For any grievance/dispute please contact Fyers at the above mentioned address or write at grievance@fyers.in for trading related activities and grievance-dp@fyers.in for DP related activities or call on 080-45588633		
In case not satisfied with the response, please contact the concerned exchange(s) at:		
NSE: ignse@nse.co.in or contact at 022-26598100	BSE: is@bseindia.com or contact at 022-22728097	MCX: grievance@mcxindia.com or contact at 022-67318888

If you are still not satisfied with the redressal, you may lodge a complaint with SEBI through a web based centralized grievance redressal called SCORES (SEBI Complaints Redress System). The address of the SCORES portal is https://scores.sebi.gov.in/.

FYERS

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. Important points

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address

(POA) List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	NBank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self declaration with relevant supporting documents.

In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

1. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office
2. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

For non-individuals:

1. Form need to be initialized by all the authorized signatories
2. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

Additional Instructions:

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate/ Special Executive Officer under his/her officials.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first/ sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

Know Your Client (KYC)
Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

Application Number: 0
Application Type*: ☐ New KYC ☐ Modification KYC

KYC Mode*: Please Tick (✓)

☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. Identity Details (Please refer guidelines overleaf)

PAN* _____ Please enclose a duly attestd copy of your PAN Card

Name* (Same as ID proof) _____ _____ _____ _____

Maiden Name* (if any) _____ _____ _____ _____

Fathers/Spouse's Name* _____ _____ _____ _____

Date of Birth* _____

user-image

Gender* ☐ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other _____

Residential Status* ☐ Resident Individual ☐ Non Resident Indian
Please Tick (✓) ☐ Foreign National ☐ Person of Indian Origin

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRAKYC. Select NRI of Foreign National based on Nationality of individual)

sign-image

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A - Aadhaar Card XXXX XXXX _____
☐ B - Passport Number _____ (Expiry date) _____
☐ C - Voter ID Card _____
☐ D - Driving License _____ (Expiry date) _____
☐ E - NREGA Job Card _____
☐ F - NPR _____
☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details (Please refer guidelines overleaf)

A. Correspondence/Local Address*

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District* _____ Pincode* 0 _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☑ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Income Range:	Occupation:	Applicant e-SIGN:
Geo Location:		

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1*

Line 2

Line 3

City/Town/Village* District* Pincode* 0

State* Country*

Address Type* ☐ Residential/Business ☑ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A - Aadhaar Card XXXX XXXX

☐ B - Passport Number (Expiry date)

☐ C - Voter ID Card

☐ D - Driving License (Expiry date)

☐ E - NREGA Job Card

☐ F - NPR

☐ Z - Others (any document notified by Central Government)

Identification Number

3. Contact Details (in CAPITAL)

Email ID*

Mobile No.*

Tel (Off) Tel (Res)

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: (DD-MM-YYYY)

PLACE:

Applicant e-SIGN	Applicant Wet Signature
	sign-image

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date Emp. Name Emp. Code Emp. Designation	☑ Self certified document copies received (OVD) ☐ True Copies of documents received (Attested) AMC / Intermediary Name : FYERS Securities Private Limited | CKYC Institution Code: IN0129

FYERS

TRADING ACCOUNT RELATED DETAILS(For Individuals)

A. BANK ACCOUNT DETAILS

Account Type:	☑ Savings ☐ Current ☐ Others	In case of NRI:	☐ NRE ☐ NRO
Bank Name:			
Branch address:			
Account Number			
MICR Number:		**IFSC code:**	

B. OTHER DETAILS

1. **Gross Annual Income Details (please specify):**

   Income Range per annum: ☐ Below Rs 1Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25Lac ☐ 25 Lacs- 1 Crore ☐ >1 Crore

   or Net-worth as on (date) _____(Net worth should not be older than 1 year)

2. **Occupation (please tick any one and give brief details) :**

   ☐ Public Sector ☐ Private Sector ☐ Govt Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife

   ☐ Student ☐ Others

3. **Please tick, if applicable:** ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (RPEP) ☑ None

4. **In what capacity do you trade commodities?**

   ☐ Farmer/Farmer Producer Organisation ☐ Value Chain Participant ☐ Foreign Participants ☐ Proprietary Trader

   ☐ Domestic Financial Institutional Investor ☐ Others

C.PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3

D. DEPOSITORY ACCOUNT(S) DETAILS

Depository:	☐ NSDL ☑ CDSL		
Depository Name:	Fyers Securities Pvt Ltd		
Beneficiary Name:			
DP ID:	12089400	BO ID:	0

E. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segments not chosen by you.

Exchanges	**NSE, BSE & MSEI**				**MCX, NCDEX, BSE & NSE**
All Segments	**Cash/Mutual Funds**	**F&O**	**Currency**	**Debt**	**Commodity Derivative**
If you do not wish to trade in any of segments/ Mutual Fund, please mention here _____					

F. Dealings through other stock brokers

Name of Stock Broker		**Sub Broker (If any)**	
Client Code		**Exchange(s)**	
Website			
Details of disputes/dues pending from/to such stock broker			

G. INTRODUCER DETAILS (optional)

Name of the Introducer:

Status of the Introducer: ☐ Sub-broker ☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others

Phone no.: **Signature (Optional):**

H. NOMINATION DETAILS (for individuals only)

☑ I/We do not wish to nominate

☐ I/We wish to nominate

I. ADDITIONAL DETAILS

Whether you wish to receive: ☐ Physical Contract Note ☐ Electronic Contract Note (ECN)

Mode in which you wish to recieve the RDD, Rights & Obligations, and Guidance note: ☐ Physical ☑ Electronic

Email id(if applicable)

Whether you wish to avail of the facility of internet trading/ wireless technology: ☑ Yes ☐ No

Number of years of Investment/Trading Experience: ☐ No experience ☐ Years of experience

DECLARATION

SIGNATURE OF APPLICANT

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document (s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: **Date:** **UCC:**

FOR OFFICE USE ONLY

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of Employee	PRATIK		
Employee Code	EM114		
Designation of the employee	L1 Approver		
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.



Bikash Swain

Authorised Signatory Name

Authorised Signatory and Seal / Stamp of Fyers Securities Pvt. Ltd **Date:** _____

FYERS

Additional KYC Form for Opening a Demat Account

To Be Filled by the Depository Participant			
Application Number	0	**Date**	
DP Internal Reference No.	0	**DP ID: 12089400**	**BO ID:** 0

Type of Account (Please Tick Whichever is Applicable)		
Individual	☑ Individual Resident ☐ Individual Promoter ☐ Individual Director's Relative ☐ Individual Margin Trading A/C (MANTRA)	☐ Individual Director ☐ Others(Specify) ☐ Individual HUF / AOP ☐ Minor
NRI	☐ NRI Repatriable ☐ NRI Repatriable ☐ NRI Depository Receipts	☐ NRI Non-Repatriable ☐ Others(Specify) ☐ NRI Non - RepatriablePROMOTE
Foreign National	☐ Foreign National ☐ Foreign National	☐ Others(Specify)

Holder Details (To Be Filled by the Applicant in Block Letters)	
I/We Request You To Open A Demat Account In my/ our Name as per following details:	
PAN No.:	**UID No.:**
Second Holder's Name:	
PAN No.:	**UID No.:**
Third Holder's Name:	
PAN No.:	**UID No.:**
Name*:	
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc. although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc.should be mentioned above.	

Details of Guardian (In Case the Account Holder Is Minor)	
Guardian's Name:	
Relationship with the Applicant:	**PAN No.:**

I / We instruct the DP to receive each and every credit in my/our account. (If not marked, the default option would be 'Yes') ☐ Yes ☐ No	I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No') ☐ Yes ☐ No
Account Statement Requirement ☐ As Per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly	I / We request you to send Electronic Transaction-cum- Holding Statement at the email ID. ☑ Yes ☐ No
I / We would like to share the email ID with the RTA ☐ Yes ☐ No	II / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical) ☐ Physical ☐ Electronic ☐ Both (Physical & Electronic)
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time) ☐ Yes ☐ No	

I/We give my/our confirmation to receive the account opening document and welcome letter through email and digitally signed With reference to my/our application for opening of a Demat account with you. I / We wish to receive all documents related to account opening documents, welcome letter and CMR copy (digitally signed) on the registered email id provided. None of these would be physically sent unless requested for the same.

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ (Mandatory , if you are giving Power of Attorney(POA)) (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Easi	To register for e asi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Option Form For Issue of DIS Booklet

DP ID: 12089400	BO ID: 0	Date:

To: Fyers Securities Pvt. Ltd.,

Dear Sir,

I/We hereby state that: (select one of the options given below)

☐ **Option 1-** I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/We have issued a Power of Attorney (POA) in favor of Fyers Securities India Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

☑ **Option 2-** I/We do not require Delivery Instruction Slip (DIS) for the time being. Since I/We have issued a POA/EDIS in favor of Fyers Securities India Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

Note: If you wish to avail of the DDPI (or POA) facility, you may do so through our app or web portal after your account has been successfully opened.

Authorisation to Maintain Demat Account

I/We hereby authorise the Depository Participant to continue / maintain my/our normal demat account in accordance with CDSL/NSDL regulations. I/We understand that the applicable Annual Maintenance Charges (AMC) and other charges shall be levied as per the approved tariff sheet provided in the KYC Form, and as may be revised from time to time with due intimation.

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

CLIENT ACKNOWLEDGMENT

To,
Fyers Securities Pvt. Ltd.,
Bangalore

Dear Sir or Madam,

Sub: This is to acknowledge the receipt of the following documents. I / We further state and confirm that I/ we have read and understood all the clauses of aforesaid documents.

I/We hereby acknowledge receipt of the following documents

1. Duly Executed Copy of KYC
2. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
3. Risk Disclosure Document for Capital Market and Derivatives Segments
4. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
5. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
6. The Fyers Securities Pvt. Ltd. Tariff sheet.
7. General Terms and Conditions governing securities trading and broking services of Fyers Securities Pvt Ltd.
8. Internet & Wireless Technology Based Trading Facility Provided by the Stock Broker to the Client.
9. All other mandatory and voluntary client registration documents.

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will. I state that I have read and understood all of the above documents and these documents are binding upon me.

I/We also confirm that I/we have received the relevant clarifications, if any, wherever required from the officials of Fyers Securities Pvt. Ltd

Yours faithfully,

Client Name:

Date:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

FYERS

TARIFF SHEET

Date:

From,

Client Name:

To,

Fyers Securities Pvt. Ltd

Dear Sir / Madam,

I would like to subscribe to the Fyers Securities Pvt. Ltd. for trading and demat account and request Fyers Securities Pvt. Ltd. to apply brokeragecharges as mentioned and as per the terms and conditions of Fyers Securities Pvt. Ltd.

I/We agree to pay the charges as per following charge structure with Fyers Securities Pvt. Ltd.

General Charges Head	**Charges**
Mutual Funds & IPO	₹0
Equity Intraday	₹20 per executed order or 0.03% per executed order (whichever is lower).
Equity Delivery, ETF & MTF	₹20 per executed order or 0.3% per executed order (whichever is lower).
Futures (Equity, Currency, Commodity)	₹20 per executed order or 0.03% whichever is lower.
Options (Equity, Currency, Commodity)	₹20 per executed order
Call & Trade Services	₹50 + GST per executed order
Admin Square Off Charges	₹50 + GST per executed order
Physical Contract Note Charges	₹20 per physical contract note + courier charges
Physical Delivery	₹20 per executed order or 0.3% per executed order (whichever is lower).
OFS / Buy Back	₹20 per executed order or 0.3% per executed order (whichever is lower).
Expires / Exercised / Assigned Futures & Options Contracts	₹0
Cheque Bounce Charges	₹0
Payment Gateway Charges	₹0
Interest on ledger debit	0.041% per day
Interest on Cash to collateral violation	0.041% per day
Interest on MTF	0% p.a. for funding up to ₹1,000 12.49% p.a. for funding ₹25,00,000.01 and Above 14.49% p.a. for funding ₹10,00,000.01 to ₹25,00,000 15.49% p.a. for funding ₹1,00,000.01 to ₹10,00,000 16.49% p.a. for funding ₹1,000.01 to ₹1,00,000

DP Charges Head	**Charges**
Account opening Charges	₹0
Annual Maintenance Charges	₹0
Credit Charges (Buy Receive)	₹0
Debit Charges (Sell)	₹12.5+ GST per scrip [₹3.5(CDSL Fee) + ₹9(FYERS Fee) + GST per scrip]
Margin Pledge Request	₹5.00 + GST
Margin Unpledge Request	₹0
Margin Pledge Invocation	₹5.00 + GST
Margin Repledge	₹1.00 + GST
MTF Pledge Request	₹12.00 + GST
MTF Unpledge Request	₹12.00 + GST
Dematerialization Charges	₹0
Rematerialization Charges	Fee For every 100 securities ₹10/- Part there of; subject to maximum ₹5,00,000/- Or Flat fee ₹10/- per certificate, whichever is higher
Conversion of MF Units / Destatementisation	₹0
Reconversion of MF units in to SOA or Redemption/Restatmentisation Per SOA	₹0

Failed / Rejected Transactions	₹0
Periodic Statement	₹0 by Email. Rs. 50 + courier charges at actuals for physical statements
Adhoc / Non Periodic Statement Requests	₹0
Delivery Instruction Book (10 leaves)	₹150 + GST + courier charges at actuals
Modification in Client Master List (CML)	₹50 + GST
Modification in KRA / Download	₹50 + GST
Transfer of shares from FYERS Demat to other Demat	₹12.5+ GST per scrip [₹3.5(CDSL Fee) + ₹9(FYERS Fee) + GST per scrip]
Transfer of shares from other Demat to FYERS	₹0
Erroneous Transfer reversal	₹100/- + GST per transaction
Creation of Auto Pledge in favor of CUSPA	₹5/- + GST per request payable by the Pledgor BO
Release of Pledge in favor of CUSPA	₹5/- + GST per request payable by the Pledgee BO
Confiscation/Invocation of Pledge by Pledgee	₹5/- + GST request payable by Pledgee BO
Stamp Duty	Applicable in case of Confiscation/Invocation payable by Pledgee BO
DDPI Charges (offline)	₹150 including GST
DDPI Charges (online)	₹0/-

1. In addition to the above charges, the following statutory charges will also be levied at actuals:
    a. Exchange transaction charges
    b. Securities / Commodity Transaction Tax
    c. Goods & Services Tax
    d. SEBI Turnover fees
    e. Stamp Duty
    f. Depository Charges
    g. IPFT Charges
2. Other charges - Clearing charges
3. Charges/ Service standards are subject to revision at the sole discretion of Fyers Securities Pvt. Ltd. and as informed by communication sent by ordinary post/email.
4. Charges quoted above are for the services listed. Any service not quoted above will be charged separately at the time of availing such service, upon your consent.
5. An interest at 18% p.a. will be levied on the outstanding amount of your trading account, and an interest at 13% p.a. will be levied on the outstanding amount of your Demat account after bill due date.
6. As there is no AMC fee for clients, there will be no AMC for BSDA clients as well.

First / Sole Holder Signature	**Second Holder Signature (If Applicable)**	**Third Holder Signature (If Applicable)**

FYERS

FATCA /CRS DECLRATION /SELF CERTIFICATION FOR INDIVIDUAL

	First Account Holder	Second Account Holder	Third Account Holder
Name of the Account Holder			
Indicate ( ) your Tax Residency / Citizenship / Nationality	India		
If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:			
Specify City and Country of Birth			
Specify Country(ies) of Tax Residency/ Citizenship /Nationality / Green card holder,sother than India			
Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)			
Source of wealth	☐ Salary ☐ Gift ☐ Royalty ☐ Prize Money ☐ Buisness ☐ Rental ☐ Property ☐ Others	☐ Salary ☐ Gift ☐ Royalty ☐ Prize Money ☐ Buisness ☐ Rental ☐ Property ☐ Others	☐ Salary ☐ Gift ☐ Royalty ☐ Prize Money ☐ Buisness ☐ Rental ☐ Property ☐ Others

Declaration
I / We hereby declare, agree and confirm the following:
1. The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Fyers Securities Pvt Ltd within 30 days, in case of any change in the above given status on a future date;
2. If I /we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Fyers Securities Pvt Ltd to the relevant tax authority. or information may be shared with concerned Asset Management Companies (AMCs) or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;

First / Sole Holder Signature	Second Holder Signature	Third Holder Signature

What is FATCA/ CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

US Person means

In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other tha n U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.)

Documents to be collected if Customer's Country of birth is U.S. but declare that he she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship
3. Self certification for stating reasons for not obtaining U.S. citizenship at birth.s

Internet & Wireless Technology Based Trading Facility Provided by the Stock Broker (Fyers Securities Pvt Ltd.) to the Client

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. The stockbroker is eligible for providing Internet Based Trading (IBT) and securities trading through the use of wireless technol ogy that shall include the use of devices such as mobile phone, laptop with a data card, etc. which use Internet Protocol (IP). The stockbroker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & Exchanges from time to time.

2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through the use of wireless technology. The Stockbroker shall provide the Stockbroker's IBT Service to the Client, and the Client shall avail of the Stockbroker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stockbroker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The stockbroker shall bring to the notice of client the features, risks, responsibilities, obligations, and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stockbroker.

4. The stockbroker shall make the client aware that the Stockbroker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.

5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions are done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also, the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stockbroker.

6. The Client shall immediately notify the Stockbroker in writing if he forgets his password, discovers security flaw in Stockbroker's IBT System, discovers/suspects discrepancies/unauthorized access through his/her username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use,etc.

7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's User name/password in any manner whatsoever.

8. The stockbroker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case the client is trading using wireless technology, the stockbroker shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stockbroker and the Exchange do not make any representation or warranty that the Stockbroker's IBT Service will be available to the Client at all times without any interruption.

10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non- availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stockbrokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Client Name:

Date: _____

Place: _____

First / Sole Holder Signature	Second Holder Signature	Third Holder Signature

FYERS

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a \93Unique Client Code\94 (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker\92s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

First / Sole Holder Signature	Second Holder Signature	Third Holder Signature

FYERS

UNDERTAKING / AUTHORISATION

With respect to Member-Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I/We do hereby authorize Fyers Securities Pvt. Ltd. to do the following:

1. ORDER PLACEMENT INSTRUCTIONS

I/We understand that you require written instructions from me/us for placing / modifying / cancelling orders. However, since it is not practical for me/us to give written instructions for placing/modifying/cancelling order. Even If I/we have facility to trade online through Internet and wireless technology, I/we may have to place orders by physically visiting /calling/ Emailing the Call centre / branch specified for the said purpose by Fyers Securities Pvt. Ltd. in case of breakdown of internet connectivity or other similar reasons.

I/We hereby request you to kindly accept my/our, my/our authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same were not placed by me/us provided I/we am/are sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I/We indemnify Fyers Securities Pvt. Ltd. and its employees against all losses,damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our instructions for orders placed verbally.

2. ERRORS AND OMMISSIONS

I/We understand and agree that inadvertent errors may occur, while executing orders placed by me/us. In such circumstances Fyers Securities Pvt. Ltd. shall make all reasonable efforts to rectify the same and ensure that I/we am/are not put to any monetary loss. I/We understand and agree that I/we shall not hold Fyers Securities Pvt. Ltd. responsible beyond this and claim additional damages/loss.I/We understand and agree that my/our request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Fyers Securities Pvt. Ltd..

3. NO MARKET MANIPULATION

I/We undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I/we am/are found to be indulging in such activities, Fyers Securities Pvt. Ltd. has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my/our trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKERN

I/We undertake not to act as unregistered Sub-broker and deal only for myself/ourselves and not on behalf of other clients. In case I/we wish to deal for other clients also, I/we undertake to apply to SEBI through Fyers Securities Pvt. Ltd. to obtain a sub broker registration. In case Fyers Securities Pvt. Ltd. perceives that I/we am/are acting as an unregistered sub broker, Fyers Securities Pvt. Ltd. has the right to immediately suspend my/our trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me/us to Fyers Securities Pvt. Ltd. without the requirement of any notice from Fyers Securities Pvt. Ltd.. Further, Fyers Securities Pvt. Ltd. has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I/we agree and undertake to indemnify Fyers Securities Pvt. Ltd. from any loss/ damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I/We confirm and declare that there is no bar on me/us imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I/We agree to inform Fyers Securities Pvt. Ltd., in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me/us in future. In case I/we fail to inform the same and Fyers Securities Pvt. Ltd. on its own comes to know of such action, Fyers Securities Pvt. Ltd. has the right to suspend/close my/our trading account and refuse to deal with me/us. Also,Fyers Securities Pvt. Ltd. can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me/us.

6. PMLA DECLARATION

I/We declare that I/we have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me/us by Fyers Securities Pvt. Ltd. officials. I/We further declare that I/we shall adhere to all the provisions of PMLA Act, 2002.

I/We further undertake and confirm that;

1. I/We do not have any links with any known criminal
2. I/We am/are a genuine person/persons and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I/we am/are a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION

I/We hereby indemnify and hold Fyers Securities Pvt. Ltd., its Directors/Partners and employees harmless from and against all claims,demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Fyers Securities Pvt. Ltd. directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me/us during the course of my/our dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me/us at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I/We hereby agree to indemnify and hold Fyers Securities Pvt. Ltd. harmless from any claims, demands, actions, proceedings, l osses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Fyers Securities Pvt. Ltd. directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me/us during the course of my/our dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me/us at the time of registration and/ or subsequently.

8. MUTUAL FUNDS

I/We am/are interested in availing the StAR Mutual Fund facility of the exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in the same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me/us.

9. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day for trading and 0.04% for demat, as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Fyers Securities Pvt. Ltd. website.

10. NRI DECLARATION

I/We understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Fyers Securities Pvt. Ltd. every time the investment is made.

11. THIRD-PARTY PAYMENTS

Fyers Securities Pvt. Ltd. shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along-with adequate proof thereof as per proforma prescribed by Fyers Securities Pvt. Ltd.. Fyers Securities Pvt. Ltd. shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Fyers Securities Pvt. Ltd. and the credit for the same has been given in the client's ledger, Fyers Securities Pvt. Ltd. shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Fyers Securities Pvt. Ltd. reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. Fyers Securities Pvt. Ltd., its Directors/Partners and employees shall not be responsible for any consequential damages or losse s.

12. NO DEALINGS IN CASH

Fyers Securities Pvt. Ltd. as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/SubBroker/Remisier/Employee/Authorised Person of Fyers Securities Pvt. Ltd..

13. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of Fyers Securities Pvt. Ltd., for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Fyers Securities Pvt. Ltd. In case the client fails to transfer the shares on time to Fyers Securities Pvt. Ltd., Fyers Securities Pvt. Ltd. shall not be responsible for any loss/damages arising out of such delayed transfers.

14. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then Fyers Securities Pvt. Ltd. has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. Fyers Securities Pvt. Ltd., its Directors/Partners and Employees shall not be responsible for any loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of Fyers Securities Pvt. Ltd..

After such square off of open positions by Fyers Securities Pvt. Ltd., as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, Fyers Securities Pvt. Ltd. shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Fyers Securities Pvt. Ltd., its Directors/Partners and employees shall not be responsible for any loss or damages arising out of such selling.

15. MOBILE & EMAIL DECLARATION

The client hereby declares that they authorize Fyers Securities Pvt. Ltd. to send communication on the provided mobile number and email address. Fyers Securities Pvt. Ltd. is authorised to send transactional, relevant information and promotional content on mobile as well as email.

16. CLIENT DEFAULTER DECLARATION

The client hereby declares that they are not involved in any terrorist activities and have not been declared a defaulter and their name does not appear in the defaulter database as per SEBI / Various Exchange(s) / Regulatory Bodies, etc.

Client Name:

Date:

Place:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Authorisation Letters

Running Account Authorisation to Fyers Securities Pvt. Ltd.

I am/We are dealing in NSE/BSE/MCX with you and in order to facilitate ease of operations, I/we authorize you as under

1. Authorization to Retain Credit Balance: I / We request you to retain the credit balance in any of my / our account and to use the idle funds towards our margin/future obligations at the Exchanges unless I/ we instruct you otherwise.

2. Running Account Authorization: I/We am/are desirous of regularly dealing in NSE/BSE/MCX Exchanges and request you to maintain a running account for funds on my/our behalf without settling the account on settlement of each transaction on my/our behalf. I/We further request you to retain all amounts payable to me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts retained with you pending periodical settlement. This running account authorization would continue until it is revoked by me. I/We request you to settle my/our fund and securities account (Choose one option).

☐ Once in a calendar Month ☐ Once in every calendar Quarter

except for the fund obligation towards the margin for an open position to my/our bank account as per SEBI circular MIRSD/SE/Cir-19/2009. I/We agree that Fyers shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. I/We confirm that I/We will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

Authorisation for Receipt of Contract Notes and Other Documents in Digital Mode

I/We hereby consent to receive from Fyers Securities Pvt. Ltd. the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement(s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my mentioned email id. I/We further hereby agree that the Fyers Securities Pvt Ltd. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

1. Non-receipt of bounced mail notification by you shall amount to delivery at my email account /email id.
2. Not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
3. Log reports of your dispatching software shall be a proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.
4. I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I am an internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.

Client Name:

Date:

Place:

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Electronic Contract Note (ECN) Declaration (Voluntary & Applicable only for Commodities) APPENDIX - A

To,
Fyers Securities Pvt Ltd.

Dear Sir/Madam,

I, a client with member Fyers Securities Pvt Ltd of Commodity Exchanges undertake as follows:

1. I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form
2. I am aware that the Member has to provide electronic contract note for my convenience on my request only
3. Though the Member is required to deliver a physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.
4. I have access to a computer and am a regular Internet user, having sufficient knowledge of handling the email operations.
5. The email ID mentioned below is mine. This has been created by me and not by someone else.
6. I am aware that this declaration form should be in English or in any other Indian language known to me.
7. I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the contract note at the above email ID

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note and do hereby take full responsibility for the same.

Email:			
Name:			
Address:			
PAN		**Client Code**	
Date		**Place:**	

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

FOR OFFICE USE ONLY

Fyers Securities Pvt Ltd

Date:	
Place:	Bangalore
Verification of client signature done by	PRATIK
Signature	

FYERS

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/OIAE/OIAE_IAD-3/P/CIR/2026/12676 dated May 29, 2026 on Ease of Doing Investments – Modified Norms for Nomination in Demat Accounts and Mutual Fund Folios]

Mandatory Details

1. I / We wish to make nomination [as per details given below]

   (You can nominate upto 3 persons)

	Details	1st Nominee	2nd Nominee	3rd Nominee
1	Name of the nominee*			
2	Relationship with the Applicant			
3	Date of Birth (to be provided in case the nominee is a minor)			

2. I want the following nomination details to be printed in the account I holding statements (tick one of the boxes below):

   ☐ Name of the Nominee(s)

   ☐ Whether nomination given: Yes/ No (not name of the nominee)

3. Optional details of nomination:

	Details	1st Nominee	2nd Nominee	3rd Nominee
a)	% Share of nominee** (equal share if % is not specified)			
b)	Mobile of nominee*** (Please note that the OP I MF RTA will be able to reach out to your nominee if you provide the contact details)			
c)	Email ID of nominee*** (Please note that the OP I MF RTA will be able to reach out to your nominee if you provide the contact details)			
d)	Specify Personal identifier document • Aadhaar (last four digit), PAN, Driving Licence, Passport ***			

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.

*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

4. Signature:

Name(s) of holder(s)	Signature(s) of holder****
Sole I First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

****If nomination is submitted:

- Online: validate through Digital Signature Certificate or Aadhaar-based e-sign or by using any other e-sign facility recognized under Information Technology Act, 2000 or two factor authentication (2FA) in which one of the factors shall be a One-Time Password (OTP) sent to the registered mobile number and email address.
- Physical / offline: wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses (name and address) shall be duly provided in this form.

Note:

- Investors can provide, changes or cancel nominations any number of times.
- The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.
- This nomination shall supersede any prior nomination made by the investor(s), if any.
- Investors can change nomination any number of times.
- Investor have the right to receive acknowledgement of the nomination form for each instance of nomination I subsequent change.

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Annexure-B

Declaration for Opting-out of Nomination

I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/ mutual fund folio at this point of time.

I understand that -

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(iii) if no claim is made on the account *I* folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Name and Signature of Holder

**Signature of witness, along with name and address are required, if the account holder affixes thumb impression instead of signature.*

***

FYERS

Family Declaration for Mobile No. & Email Id

I having, hereby declare that the below information is true to my knowledge:

a) The Mobile No. belongs to

b) The Email Id belongs to

First / Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature (If Applicable)

Mode of Operations for Demat Account (For Joint Accounts)

We would like to update mode of operation in our		
Demat account number		
Held with FYERS Securities Pvt. Ltd as below		
☐ First Holder	☐ Jointly	☐ Anyone of the Holder or Survivor
We understand that if Mode of Operation for joint account is chosen as anyone of the holder of survivor(s), only specified operations such as transfer of securities including inter-depository transfer, pledge/hypothecation/margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and/or securities and/or specific number of securities will be permitted.		
Yours Faithfully		
First/Sole Holder Signature	Second Holder Signature (If Applicable)	Third Holder Signature(If Applicable)